



Membership

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Panel Auditors

Criteria for Approval

IDA Member firms may only use an approved panel auditor for the audit of their books and records. Where the audit firm is already approved, the individual partner responsible for the audit of a Member firm must have attended the CICA in-depth seminar specifically designed for auditors of investment dealers.

The following criteria has been approved by the Self-Regulatory Organizations to review and approve applications for Panel Auditor of Member firms:


- The audit partner must have at least five years experience and currently be a member in good standing with the CICA;
- Developed audit guidelines and procedures tailored to the specific risks and needs of investment dealers and Minimum audit procedures as set out in IDA Regulation 300; and
- Mandatory prior attendance of engagement audit partner(s) at the CICA in-depth seminar specifically designed for audits of investment dealers.

A request for approval for status by the IDA as an "approved panel auditor" should be submitted to the IDA. The Financial Compliance Department of the IDA will review the audit partner's CV and other related material to reach a decision on approval.

Approved Panel Auditors

BDO Dunwoody
 Clarke Henning LLP
 Deloitte & Touche/ Samson Belair Deloitte & Touche
 Donald G. Guthrie, Chartered Accountant
 Ernst & Young LLP
 Feldman & Associates, LLP
 Grant Thornton/ Raymond Chabot Grant Thornton
 Haugen Morrish Angers
 T. Robert Hambley
 Harel Drouin PKF
 Kaplan Burack Hayden Nayer Silverman
 Kingston Ross Pasnak
 KPMG LLP
 MacKay LLP
 Malenfant Dallaire
 McGovern, Hurley, Cunningham LLP
 Meyers Norris Penny LLP
 Petrie Raymond
 PKF Hill LLP
 PricewaterhouseCoopers
 Raymond Wilde Chartered Accountants
 RSM Richter LLP/RSM Richter S.E.N.C.R.L.
 Segal & Partners LLP
 Joseph G. Rosenthal
 Walsh King Chartered Accountants

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 Association Of Canada



Yale & Partners LLP
Zeifman & Company

